

REPORT TO THE TRUST BOARD – 31st July 2014

Paper Title:	Board Governance Statements: Self-Certification
Sponsoring Director:	Peter Jenkinson, Director of Corporate Affairs
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Purpose: <i>The purpose of bringing the report to the board</i>	The Trust is required to submit self-certification against the Governance Statements to Monitor as part of the Foundation Trust assessment process.
Action required by the board:	The Board is asked to approve the Governance Statements and assurance provided for submission to Monitor, subject to any revisions required.
Document previously considered by: <i>Name of the committee which has previously considered this paper / proposals</i>	Executive Management Team

Executive summary

Key points in the report and recommendation to the board

1. Key messages

Self-certification against the Monitor Board Governance Statements is one of the key submissions that the Trust is required to make to Monitor as part of the Foundation Trust assessment process, and is part of good practice as a Board.

Self-certification was originally undertaken in July 2013 and presented to the Trust Board in its meeting that month for approval and submission to the NTDA (as part of the NTDA preparation phase). The Trust is required to self-certify against the statements to the NTDA each month as part of the Integrated Delivery Process.

The statements of compliance, including assurance and relevant evidence, have been updated and are presented to the Trust Board for a detailed review. A declaration of full compliance is proposed for all statements apart from Statement 7 “*The Board is satisfied that plans in place are sufficient to ensure: ongoing compliance with all existing targets; and all known targets going forwards*”, for which a declaration of “risk” is proposed. This is in line with the monthly submission which is made to the NTDA for this governance statement.

The performance indicators presented as assurance in support of Statement 7 relate to Trust performance at Month 2. The Month 3 performance report is presented to the July Trust Board meeting as a separate paper, and the Board is asked to consider the assurance for this Board statement in relation to the Month 3 report as well as the Month 2 performance.

2. Recommendation

That the Board approves the Governance Statements and assurance provided for submission to

Monitor, subject to any revisions required.

Key risks identified:

Are there any risks identified in the paper (impact on achieving corporate objectives) – e.g. quality, financial performance, compliance with legislation or regulatory requirements?

The key risk identified in the paper relates to delivery of operational performance, which links directly to a risk identified on the Board Assurance Framework.

Related Corporate Objective:

Reference to corporate objective that this paper refers to.

Continue to make good progress towards being authorised as a Foundation Trust in the coming year

Related CQC Standard:

Reference to CQC standard that this paper refers to.

N/A

Equality Impact Assessment (EIA): Has an EIA been carried out? Yes

If yes, please provide a summary of the key findings

No specific groups of patients or community will be affected by the initiatives detailed in the report. Where there may be an impact on patients then consultation will be managed as part of that specific programme.

If no, please explain your reasons for not undertaking an EIA.